



PATENT
P17145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Shah, et al.
Serial No.: 10/712,207
Filed: November 12, 2003

) Examiner: Meng Ai T. An
)
) Art Unit: 2126
)

For: METHOD, SYSTEM, AND PROGRAM FOR INTERFACING WITH A NETWORK
ADAPTOR SUPPORTING A PLURALITY OF DEVICES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);

09/06/2005 SFELEKE1 00000022 500585 10712207

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Page 1 of 3

Adjustment Date: 11/17/2005 SDIRETA1
09/06/2005 SFELEKE1 00000022 500585 10712207
01 FC:1806 180.00 CR



Def & Ref

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Deposit Account No. 50-0585
Konrad Raynes & Victor LLP
315 South Beverly Drive, Suite 210
Beverly Hills, California 90212

2005 SEP 28 AM 1:21
Customer No. 24033
Tel: 310-556-7983
Fax: 310-556-7984

**Request for Refund of
Improper Charges to Deposit Account**

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Deposit Account number 50-0585 has been charged for patent filing fees in the month of September, 2005. A summary of the disputed charge(s) follows:

| <u>Date:</u> | <u>Serial No.:</u> | <u>Attorney Docket No.:</u> | <u>Fee Code:</u> | <u>Amount:</u> | <u>Paid by Check:</u> |
|--------------|--------------------|-----------------------------|------------------|----------------|-----------------------|
| 09/06 | 10712207 | P17145 | 1806 | \$180 | |

☐ Applicants request a refund of the above-noted charge on the basis that all fees due were paid by Applicants' representative on submission of the filing in question. Copies of the receipt postcard and check for the matter in question are attached.

☒ Applicants request a refund of the above-noted charge on the basis that no fee was due for the filing in question. A copy of the patent filing transmittal for the matter in question is attached.

A refund of \$180 to Deposit Account number 50-0585 is respectfully requested.

David W. Victor
Reg. No. 39,867
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212
(310) 556-7983

Dated: September 21, 2005

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Application of: Shah et al.
Serial No.: 10/712,207
KRV Ref.: 77.0034
Atty Docket: P17145

Date submitted: August 31, 2005

by: DWV/ayc

The Following, due _____ in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:

- ☒ Transmittal of Information Disclosure Statement
- ☒ Form PTO-1449 and 2 references

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PATENT
P17145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------|---|-------------------------|
| In re Application of |) | Examiner: Meng Ai T. An |
| Shah, et al. |) | |
| Serial No.: 10/712,207 |) | Art Unit: 2126 |
| Filed: November 12, 2003 |) | |

For: METHOD, SYSTEM, AND PROGRAM FOR INTERFACING WITH A NETWORK
ADAPTOR SUPPORTING A PLURALITY OF DEVICES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);

- 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits; or
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information

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disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph B or C *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

David W. Victor
Reg. No. 39,867

August 31, 2005
Date

Konrad Raynes & Victor, LLP
Customer Number 24033
315 South Beverly Drive, Suite 210
Beverly Hills, CA 90035
(310) 556-7983
(310) 556-7984 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David W. Victor 8/31/05
(Date)

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| FORM PTO-148 | | Docket Number (Optional) P17145 | | Application Number 10/712,207 | | | | | | | | |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) | | Applicant Shah, et al. | | | | | | | | | | |
| | | Filing Date November 12, 2003 | | Group Art Unit 2661 | | | | | | | | |
| 77.34 | | | | | | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | | DATE | NAME | CLASS | SUBCLASS | FILING DATE | | | | | |
| | 5 | 9 | 8 | 7 | 5 | 5 | 4 | 11-16-99 | Liu et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
| | DOCUMENT NUMBER | | DATE | COUNTRY | CLASS | SUBCLASS | Translation | | | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | |
| | PCT/US2004/036757 International Search Report & Written Opinion mailed 6-30-05 | | | | | | | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | | | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 809; Draw line through citation If not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | | | | | | | |